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November 14, 2001

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application Serial No. 09/777,556

Applicants: Richard A. Barry and Murat Azizoglu

Filed: February 5, 2001

Title: FLEXIBLE OPTICAL ADD/DROP ARCHITECTURE

Attorney Docket No.: SYCS-008

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Response to Notice To File Missing Parts of Application;
- 2. Executed Declaration, Petition and Power of Attorney;
- 3. Substitute drawings in compliance with 37 C.F.R. §1.84 (8 sheets)
- 4. Copy of Formalities Letter;
- 5. Checks for \$1764.00 for surcharge fee, the basic filing fee, and the additional claim fee; and
- 6. Return acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

November 14, 2001
Date

Kevin J. Canning, Esq. Reg. No.35,470

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Kevin J. Canning, Esq.

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATT

ATTORNEY DOCKET NUMBER

09/777,556

02/05/2001

Murat Azizoglu

SYCS-008

000959 LAHIVE & COCKFIELD 28 STATE STREET BOSTON, MA 02109 CONFIRMATION NO. 8971
FORMALITIES LETTER
\*OC000000006525876\*

Date Mailed: 09/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/02/2002 SMINASS1 00000027 09777556

01 FC:101 02 FC:103 03 FC:102 04 FC:105

740.00 DP 558.00 DP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$878.
  - \$558 for 31 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1718.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

## A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE